

Recap

HW 1 posted
Due: 10/16

Bases

- Every vector space has a basis
- All bases of a finitely generated space V have same size: $\dim(V)$

Linear Transformations

V, W over same $\mathbb{F}$. $\varphi: V \rightarrow W$ such that

- $\varphi(v_1) + \varphi(v_2) = \varphi(v_1 + v_2)$
- $\varphi(c \cdot v) = c \cdot \varphi(v)$

e.g. $A \in \mathbb{F}^{m \times n}$, $\frac{d}{dx}: \mathbb{R}[x] \rightarrow \mathbb{R}[x]$, $\varphi_{\text{left}}: \text{Fib} \rightarrow \text{Fib}$

Specifying a linear transformation

► V, W vector spaces over some $\mathbb{F}$. Basis B for V .

Let $\alpha: B \rightarrow W$ be **any function**. Then $\exists$ a **unique** LT

$$\varphi: V \rightarrow W \quad \text{s.t.} \quad \varphi(v) = \alpha(v) \quad \forall v \in B$$

Proof:

$$v \in V$$

$$\text{Let } B = \{w_1, \dots, w_k\}$$

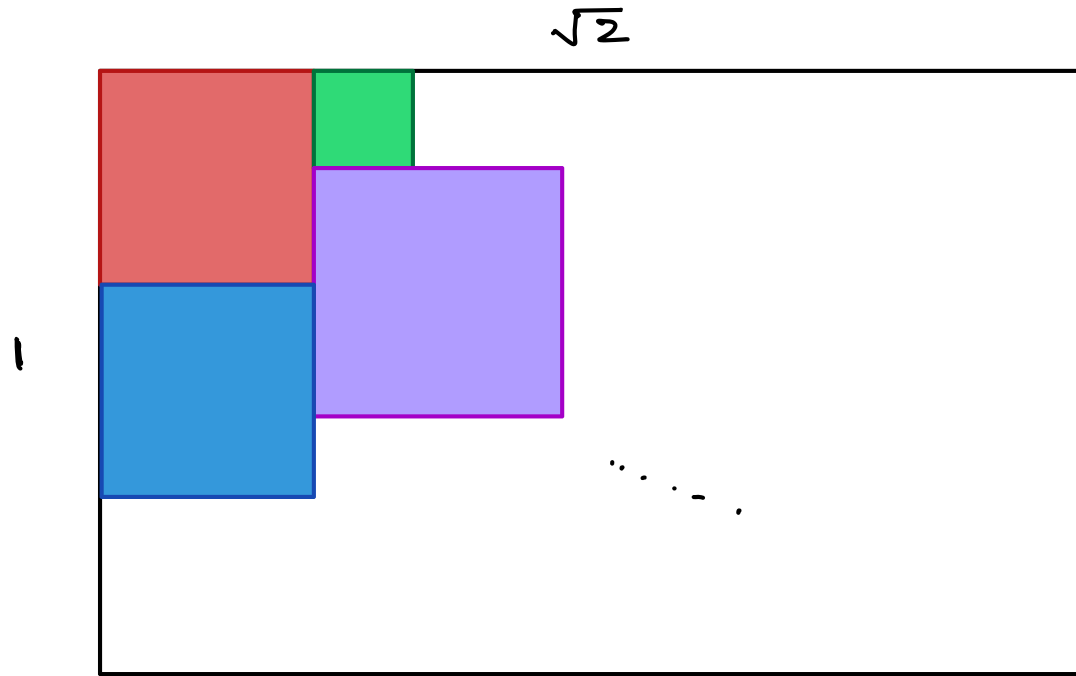
$$v = c_1 \cdot w_1 + \dots + c_k \cdot w_k$$

$$\varphi(v) = c_1 \cdot \underbrace{\varphi(w_1)}_{=\alpha(w_1)} + \dots + c_k \cdot \underbrace{\varphi(w_k)}_{=\alpha(w_k)}$$

$$\varphi(v) = c_1 \cdot \alpha(w_1) + \dots + c_k \cdot \alpha(w_k)$$

Interlude: a linear algebra puzzle

[Matousek]



Can the rectangle be filled by a finite number of non-overlapping squares?

Hint: Vector space $\mathbb{R}$ over $\mathbb{Q}$. Consider linear $\varphi: \mathbb{R} \rightarrow \mathbb{R}$

s.t. $\varphi(1) = 1$ and $\varphi(\sqrt{2}) = -1$.

Kernel and Image

$$\varphi: V \rightarrow W$$

- $\ker(\varphi) = \{v \in V \mid \varphi(v) = 0\} \subseteq V$
 - $\operatorname{im}(\varphi) = \{\varphi(v) \mid v \in V\} \subseteq W$
- Ex: Show that $\ker(\varphi)$, $\operatorname{im}(\varphi)$ are subspaces.

e.g. $A = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 2 \end{bmatrix} \quad \varphi_A: \mathbb{R}^3 \rightarrow \mathbb{R}^2$

$$\operatorname{im}(\varphi_A) = \operatorname{Span} \left\{ \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 2 \end{pmatrix} \right\} = \mathbb{R}^2$$

$$\ker(\varphi_A) = \operatorname{Span} \left\{ \begin{pmatrix} 2 \\ 1 \\ -1 \end{pmatrix} \right\}$$

Rank-nullity theorem

► Let $\varphi: V \rightarrow W$ be a linear transformation, for finite dimensional V . Then.

$$\dim(\text{im}(\varphi)) + \dim(\text{ker}(\varphi)) = \dim(V) \Rightarrow n$$

rank(φ) nullity(φ) (say)

Proof: Say $\{v_1, \dots, v_k\}$ are a basis for kernel

Complete to basis $\{v_1, \dots, v_k, v_{k+1}, \dots, v_n\}$ for V

$$\begin{array}{ccc} \downarrow & & \downarrow \\ \varphi(v_{k+1}) & \cdots & \varphi(v_n) \end{array}$$

must form basis for $\text{im}(\varphi)$

A useful kernel

$$A = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix} \in \mathbb{F}_2^{3 \times 7} \quad \varphi_A: ? \rightarrow ?$$

- $\text{rank}(\varphi_A) = \dim(\text{im}(\varphi_A)) = 3$
- $\text{nullity}(\varphi_A) = \dim(\text{ker}(\varphi_A)) = 4$
- $\text{ker}(\varphi_A)$ is an **error-correcting code**.
Can fix any one-bit mistake.

Eigenvalues and eigenvectors

$\varphi: V \rightarrow V$
operator

$\lambda \in \mathbb{F}$ is called an **eigenvalue** if

$\exists v \in V \setminus \{0_v\}$ s.t. $\varphi(v) = \lambda \cdot v$
(eigenvector)

Spectrum: $\text{Spec}(\varphi) = \{ \lambda \in \mathbb{F} \mid \lambda \text{ is an eigenvalue of } \varphi \}$

e.g. $\begin{bmatrix} 2 & 0 \\ 0 & 0 \end{bmatrix}: \mathbb{R}^2 \rightarrow \mathbb{R}^2$

$$\text{Spec}(\varphi) = \{0, 2\}$$

$$\begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}: \mathbb{R}^2 \rightarrow \mathbb{R}^2$$

$$\text{Spec}(\varphi) = \emptyset$$

$$\begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}: \mathbb{C}^2 \rightarrow \mathbb{C}^2$$

Ex:

Examples without matrices

$$\varphi: C^\infty([0,1], \mathbb{R}) \rightarrow \underline{C^\infty([0,1], \mathbb{R})}$$

↪ infinitely differentiable functions

$$\varphi(f) = \frac{df}{dx}$$

$$\text{Spec}(\varphi) = \mathbb{R}$$

$$\frac{d}{dx} e^{\lambda x} = \lambda \cdot e^{\lambda x}$$

$$\text{Ex: } \varphi_{\text{left}}: \mathbb{R}^{\mathbb{N}} \rightarrow \mathbb{R}^{\mathbb{N}}$$

$$\varphi_{\text{left}}(f)(n) = f(n+1)$$

$$\text{Spec}(\varphi) = ?$$

Eigenspaces

► Let $U_\lambda = \{v \in V \mid \varphi(v) = \lambda \cdot v\}$. Then $\forall \lambda \in \mathbb{F}$,
 U_λ is a subspace of V .

Proof:

$$\begin{aligned}\varphi(v_1 + v_2) &= \varphi(v_1) + \varphi(v_2) \\ &= \lambda \cdot v_1 + \lambda \cdot v_2 \\ &= \lambda \cdot (v_1 + v_2)\end{aligned}$$

$\dim(U_\lambda)$ is called **geometric multiplicity** of λ .

Independence of eigenvectors

► Let $\lambda_1, \dots, \lambda_k$ be distinct eigenvalues of ψ , and let $v_1, \dots, v_k$ be associated eigenvectors. Then the set $\{v_1, \dots, v_k\}$ is LI.

Proof: If not let $v_{i_1}, \dots, v_{i_n}$ be **smallest** LD set

$$c_1 \cdot v_{i_1} + \dots + c_n \cdot v_{i_n} = 0 \quad (1)$$

$\downarrow \psi$

$$c_1 \cdot \lambda_{i_1} v_{i_1} + \dots + c_n \cdot \lambda_{i_n} v_{i_n} = 0 \quad (2)$$

$\lambda_{i_n} \cdot (1) - (2)$ gives

$$\left\{ c_1 (\lambda_{i_n} - \lambda_{i_1}) \cdot v_1 + \dots + c_{n-1} (\lambda_{i_{n-1}} - \lambda_{i_n}) \cdot v_{i_{n-1}} = 0 \right.$$

even smaller dependency!